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In the Application of: Fiascone, et al

Serial No.: 10/707,155

Title: Global Balancing Tool

X Form PTO/SB/21 (in duplicate)

X Information Disclosure Statement

X Form PCT/SB/08A

X Cited Foreign Reference

X Postcard

Attorney Docket No.: <u>5216.00014</u>

Receipt date: 12/04/2003 PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE e Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/707,155 TRANSMITTAL Filing Date November 24, 2003 FORM First Named Inventor Bernadette Fiascone, et al (to be used for all correspondence after initial filing) Art Unit **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 005216.00014 ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication to Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Form PTO/SB/08A Terminal Disclaimer Express Abandonment Request 1 Cited Reference Request for Refund Postcard Receipt

Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) The Commissioner is hereby authorized to charge any fees associated with the Response to Missing Parts/ filing of these documents to Deposit Account 19-0733. Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Charles L. Miller Individual name Signature Date CERTIFICATE OF MAILING

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Atty. Docket No. 5216.00014)

In re Application of:)
Bernadette Fiascone, et al)
) Group Art Unit: Not Assigned
Serial No.: 10/707,155)
) Examiner: Not Assigned
Filed: November 24, 2003)
) Confirmation No. 1154
For: GLOBAL BALANCING TOOL)
)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Arlington VA 22313

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97-1.98, Applicants wish to make the following references listed on the enclosed Form PTO/SB/08A of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Copies of the references cited are enclosed.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: December 2, 2003

By:

Charles L. Miller Reg. No. 43,805

Banner & Witcoff, Ltd. 10 South Wacker Drive Chicago, Illinois 60606 Telephone (312) 715-1000 Sheet

of 1

PTO/SB/08a (05-03)

005216.00014

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Substitute for form 1449APTO MADEMARK OF THE SUBSTITUTE OF THE SUB	Complete if Known			
	Application Number	10/707,155		
INFORMATION DISCLOSURE	Filing Date	November 24, 2003		
STATEMENT BY APPLICANT	First Named Inventor	Bernadette Fiascone, et al		
	Art Unit			
(use as many sheets as necessary)	Examiner Name			

Examiner Cite No.1	Cito	Document Number	Publication Date	Name of Petentee or Applicant of	Pages, Columns, Lines, Where Relevar Passages or Relevant Figures Appear	
		Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document		
		US-2003/0050879	March 13, 2003	Rosen, et al		
		US- 2001/0049649	December 6, 2001	Baecker, et al		
		US- 2002/0032642	March 14, 2002	Chichilnisky		
		US- 2003/0065623	April 3, 2003	Corneil, et al		
	ĺ	US- 2002/0087454	July 4, 2002	Calo, et al		
		US- 2002/0128958	September 12, 2002	Slone		
		US- 2002/0087455	July 4, 2002	Tsagarakis, et al		
•		US- 2002/0138401	September 26, 2002	Allen, et al		
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FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant	
Initials*	No.¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	MM-DD-YYYY Document		Passages or Relevant Figures Appear	T⁵
		EP 1072990	January 31, 2001	Crossmar, Inc.		
						-
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Examiner Signature	/Thomas Hammond III/	Date Considered	02/20/2008

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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